



November 2020

Consequence

RISK ASSESSMENT MATRIX

Strategic	New legislative or policy initiative with no disruption on the ADS ability to fulfill its mission.	New legislative or policy initiative with a minor disruption on the ADS ability to fulfill its mission.	New legislative or policy initiative that causes a major disruption to the ADS mission.	New legislative or policy initiative that ceases an area of ADS mission.	New legislative or policy initiative impacting ADS with the potential to disrupt multiple mission objectives.
People	Minor injury or ailment to a worker not requiring medical treatment. A breach of one or more ADS policies requiring monitoring.	Minor injury or ailment to a worker requiring first aid treatment. A breach of one or more ADS policies requiring minor intervention and monitoring.	An injury to a worker requiring medical treatment. (not as a hospital in-patient) A breach of one or more ADS policies requiring moderate intervention with ongoing monitoring and assessment.	Serious injury to a worker requiring hospitalisation. A breach of one or more ADS policies resulting in the potential for regulatory noncompliance. Significant intervention required, along with ongoing monitoring and assessment.	Permanent disabling injury or death of a worker. A breach of one or more ADS policies resulting in a regulatory noncompliance. Major intervention and resources required, along with ongoing monitoring and assessment
Reputation	An event or potential event requiring internal review to prevent escalation.	An event or potential event requiring scrutiny by internal committees or internal audit to prevent escalation.	An event requiring scrutiny by external stakeholders or regulators and causing adverse media coverage.	An event requiring scrutiny by external regulators and / or causing intense public, political and media scrutiny, eg front page headlines, radio talkback, TV, etc.	An event requiring Parliamentary inquiry or Commission of Inquiry and / or causing adverse media coverage.
Operational	Minor errors, interruptions or noncompliance of operational processes requiring minor corrective action, and causing no delay to or impact on the mission of the ADS.	Minor errors or interruptions or non-compliance of operational processes requiring corrective action and causing minor impact on the overall mission of the ADS.	Moderate errors, interruptions or noncompliance of operational processes requiring corrective action causing moderate impact on the overall mission of the ADS. Policy and procedure compliance occasionally not met.	Major errors, interruptions, noncompliance of operational processes resulting in regulatory noncompliance. Trends show the mission of the ADS is in decline.	Critical governance failure. Total policy disregard and/or ongoing noncompliance severely affecting the ADS.
Financial	<\$3k	Between \$3k - \$5k	Btw. \$5k - 3% of total budget	Btw. 3% - 10% of total budget	>10% of total budget
Governance	Changes to organisational structure below management level.	Changes to non-executive management or minor changes required to Committee, Ministry unit or agency structure.	Moderate changes required to Committee, Ministry unit, agency or executive management structure or membership	Significant changes required to Committee, Ministry unit, agency or executive management structure or membership	Complete failure of governance structures as they relate to the ADS.
	Insignificant	Minor	Moderate	Major	Catastrophic

Likelihood	Is expected to occur in most circumstances	1. Almost Certain	Medium	Medium	High	Extreme	Extreme
	Will probably occur	2. Likely	Medium	Medium	High	High	Extreme
	Might occur at some time in the future	3. Possible	Low	Low	Medium	High	High
	Could occur but doubtful	4. Unlikely	Very Low	Low	Medium	Medium	High
	May occur in exceptional circumstances	5. Rare	Very Low	Very Low	Low	Medium	Medium